

The story of Negative Polarity

Certain expressions seem to happily occur only in the context of a “negative operator”, as the example involving *ever* in (1) suggests.

- (1) a. Schmidt hasn’t ever been to Boston.
b. *Schmidt has ever been to Boston.

However, negation is not the only licensing operator.

- (2) a. Every friend of mine who ever met Schmidt went to BU.
b. *Every friend of mine who went to BU ever met Schmidt.

Various people have proposed various analyses that attempt to provide a natural grouping of the *ever* licensing contexts, such as (1) or (2). Analyses range from more syntactically oriented ones, assuming f.i. an *affective*, or *negative* feature (Klima, 1964; Baker, 1970), to more algebraic ones like Ladusaw (1979). Ladusaw characterized the environments by their entailment pattern.

- (3) An operator *Op* is downward entailing (DE) if and only if for any arguments *X* and *Y*, $X \subseteq Y \rightarrow Op(Y) \subseteq Op(X)$. It is upward entailing if and only if $X \subseteq Y \rightarrow Op(X) \subseteq Op(Y)$. It is non-monotone otherwise.

Later approaches have linked the distribution of these negative polarity items (NPIs) to their semantic contribution (Kadmon and Landman, 1993; Krifka, 1995; Lahiri, 1998; Chierchia, 2004).

- (4) a. I didn’t have any troubles following the other talks, but this one is a little weird.
b. *I have any troubles following this talks.

Assumptions of the *widening/strengthening* proposals

- *a* and *any* are “alternatives” in some sense - they share their semantic core
- *a* and *any* differ in that *any* introduced *domain widening*
- the use of *any* is licensed if domain widening leads to a stronger statement

Semantic core of *a* and *any*:

- existential indefinite

Domain widening:

- Quantifiers come with implicit domain restrictors. *Any* conveys that this domain should be a superset of the contextually given domain.

Strengthening:

- Widened statement entails the alternative one(s).

- (5) a. I have a/*any potatoe(s).
b. I don’t have a/any potatoes.
- (6) a. $(\exists_D x_e) \text{ potato}(x) \wedge \text{have}(\text{sp}, x)$
b. $\neg(\exists_D x_e) \text{ potato}(x) \wedge \text{have}(\text{sp}, x)$

- (7) Where $D \subseteq D'$
a. $(\exists_D x_e) P(x) \wedge Q(x)$ entails $(\exists_{D'} x_e) P(x) \wedge Q(x)$
b. $\neg(\exists_D x_e) P(x) \wedge Q(x)$ entails $\neg(\exists_{D'} x_e) P(x) \wedge Q(x)$

Like a drifter I was born to walk alone

- (8) a. Ich habe überhaupt nichts gesagt.
I have *überhaupt* nothing said
'I haven't said anything (at all).'
- b. ?*Ich habe überhaupt etwas gesagt.
I have *überhaupt* something said
'I said something (but only something tiny).'
- (9) a. Das geht dich überhaupt nichts an.
that goes you *überhaupt* nothing on
'That's none of your business.'
- b. ?*Das geht dich überhaupt etwas an.
that goes you *überhaupt* something on
'That's of your concern (even though possibly only tangentially so).'
- (10) a. Es ist selten überhaupt etwas von dem was er sagt zu verstehen.
it is rarely *überhaupt* something of that what he says to understand
'It is rare that you will understand anything of what he's saying.'
- b.*Es ist häufig überhaupt etwas von dem was er sagt zu verstehen.
it is often *überhaupt* something of that what he says to understand

If überhaupt can walk on its own, it should be able to appear elsewhere

Widening in a positive polarity context should lead to strengthening if the relevant quantifier is a universal (in the negative context $\neg \exists x \phi \equiv \forall x \neg \phi$).

- (11) Meine Mutter kennt überhaupt jeden in Mindelheim.
my mother knows *überhaupt* everybody in Mindelheim.
'My mother knows just anybody in Mindelheim.'

Überhaupt modifies contextually supplied arguments.

Adopting Chierchia (2004), who treats domain widening as universal quantification over supersets of D:

- (12) $(\forall D' \supset D) [(\forall x_{ED'}) [\text{knows}(\text{speaker's mother}, x)]]$
 (13) For any D , $(\forall D' \supset D) [(\forall x_{ED'}) \phi]$ entails $(\forall x_{ED}) \phi$

Überhaupt and other contextual arguments

Gradable adjectives / comparison classes

(14) The mars pathfinder mission is expensive.

- (15) a. $\langle \dots, \text{this pen}, \dots, \text{my cheap bookshelf}, \dots, \text{our A/C}, \dots, \text{next year's textbooks}, \dots, \text{Kyle's car}, \dots, \text{that idiot's HumVee}, \dots, \text{Air Force One}, \dots, \text{the Mars pathfinder mission}, \dots, \text{a manned Mars mission}, \dots \rangle$
 b. {this pen, my friend's A/C, Kyle's BMW, AF One, the Mars Pathfinder mission}
 c. {Mars Pathfinder, Deep Impact, Mars Phoenix, Mir, manned Mars mission}

(16) a. Richard ist ganz schön groß für einen noch nicht Ausgewachsenen.
 Richard is quite pretty tall for a yet not grown-up.
 'Richard is quite tall for a person who hasn't grown-up yet.'

b. Richard ist überhaupt ganz schön groß.
 Richard is *überhaupt* whole pretty tall
 'Richard is quite tall in general.'

c. Where C and C' are variables over comparison classes, $(\forall C' \supset C)[\text{tall}(C')(r)]$.

(17) a. Für eine amische Hochzeit war das ganz schön extravagant.
 For an amish wedding was that whole pretty extravagant
 'That was quite fancy for an Amish wedding.'

b. (Sogar) für eine Hochzeit in Manhattan was das sehr extravagant.
 (Even) for a wedding in Manhattan was that very extravagant
 'That was very fancy even for a Manhattan wedding.'

c. Das war überhaupt eine sehr extravagante Hochzeit.
 that was überhaupt a very extravagant wedding
 'That was generally a quite fancy wedding.'

Discourse 17 a - 17 c: ✓ Discourse 17 a - 17 c: #

Domain adverbs / points of view (following roughly König 1983)

(18) a. Politisch war die Entscheidung eine Dummheit.
 politically was the decision a stupidity.
 'Politically, the decision was stupid.'

b. Die Entscheidung war überhaupt eine Dummheit.
 the decision was *überhaupt* a stupidity.
 'The decision was stupid in general'

c. Where V and V' are variables over sets of points of view,
 $(\forall V' \supset V) (\forall v_{EV})$ [from point of view v: stupid(x.decision(x))].

Conversational Backgrounds

(19) A: Du hast dir von Fritz viel Geld geliehen.
 you have to you from Fritz much money borrowed
 'You borrowed a lot of money from Fritz.'

a. B: Ich habe mir überhaupt kein Geld von Fritz geliehen.
 I have to me überhaupt no money from Fritz borrowed
 'I didn't borrow any money from Fritz at all.'

b. B: Ich kenne Fritz überhaupt nicht.
 I know Fritz überhaupt not
 'I don't even know Fritz.'

(cf. Ich kenne Fritz *überhaupt* nicht.)

Some of the references

Chierchia, Gennaro (2004): Scalar Implicatures, Polarity Phenomena, and the Syntax/Pragmatics Interface. In: Adriana Belletti (ed.) *Structures and Beyond. The Cartography of Syntactic Structures, Volume 3*, Oxford Studies in Comparative Syntax, pp. 39–103. Oxford, New York: OUP.

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Krifka, Manfred (1995): The Semantics and Pragmatics of Polarity Items. *Linguistic Analysis* 25(3-4), pp. 209–257.